

1600 \$Sector  
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Gauldie *et al.*

Application No.: 09/360,199

Filed: 7/23/1999

Title: Intestinal Gene Therapy

Attorney Docket No.: GDI-1CPA1

Group Art Unit:

1632

RECEIVED  
JAN 28 2002  
TECH CENTER 1600/2900

**RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION (CPA)**

Assistant Commissioner for Patents  
Washington, D.C. 20231  
Attention: Box Missing Parts

Sir:

This is in response to a Notice to File Missing Parts of Application under 37 CFR 1.53(b). Enclosed is a copy of said Notice and the following fees to complete the filing requirements of the above-identified application.

- (X) Statutory basic filing fee of \$370.00 (X) Utility ( ) Design
- (X) Additional claim fees of \$156.00 (\$72.00 for 8 total claims over 20 and \$84.00 for 2 independent claims over 3).
- (X) Missing Parts Surcharge of \$65.00
- (X) Payment is by Credit Card. Form PTO-2038 is enclosed.

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope with sufficient postage addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231.

Date of Deposit: 11/13/2001

Typed Name: Timothy H. Van Dyke

Signature: 

Respectfully submitted,

By 

Timothy H. Van Dyke, Reg. No. 43218

Date: 11/13/2001

Customer No: 29847

Van Dyke & Associates, P.A.  
1630 Hillcrest Street  
Orlando, FL 32803

Phone: 407-228-0328; Fax: 407-228-0329



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER FILING/RECEIPT DATE PATENT & TRADEMARK OFFICE FIRST NAMED APPLICANT ATTY. DOCKET NO./TITLE

#11 / R.T.  
1/28

Response to  
Notice of Missing  
Parts.

DATE MAILED:

RECEIVED  
JAN 28 2002  
TECH CENTER 160012900

**NOTICE TO FILE MISSING PARTS OF APPLICATION (CPA)**  
**Filing Date Granted**

The Continued Prosecution Application (CPA) request filed on 1/31/01 is entitled to a filing date under 37 CFR 1.53(d)(1). The CPA request, however, lacks the filing fee(s) and/or items indicated below.

Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file the fee(s), item(s), and any surcharge required below to avoid abandonment of this CPA. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

The total amount owed by applicant is the sum of items 1(a) or (b), 2, and 3 (if checked) below.

- ☒ 1. The statutory basic filing fee is:  
☒ missing.  
☐ insufficient.

(a) Applicant must submit \$ \_\_\_\_\_ to complete the basic filing fee and the \$130.00 surcharge set forth in 37 CFR 1.16(e) (non-small entity), or \$ 355.00 to complete the basic filing fee as a small entity and the \$65.00 surcharge set forth in 37 CFR 1.16(e) and file a small entity statement under 37 CFR 1.27 claiming such status (if the prior application was entitled to small entity status and such status is still proper and desired, a new small entity statement is **not** required (37 CFR 1.28)).

(b) Applicant must submit \$ \_\_\_\_\_ to complete the basic filing fee as a small entity and the \$65.00 surcharge set forth in 37 CFR 1.16(e).

- ☐ 2. Additional claim fees of  
\$ \_\_\_\_\_ (non-small entity) or \$ 80.- (small entity) for 2 independent claims over 3.  
\$ \_\_\_\_\_ (non-small entity) or \$ 72.- (small entity) for 8 claims over 20.  
\$ \_\_\_\_\_ (non-small entity) or \$ \_\_\_\_\_ (small entity) for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

- ☐ 3. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).  
☐ 4. The CPA request is unsigned. Applicant must file a signed duplicate or ratification of the CPA request.  
☐ 5. Other: \_\_\_\_\_

**A copy of this Notice MUST be returned with the reply.**

Direct the reply and any questions about this Notice to:

Patsy Zimmerman  
Examining Group 1632 **PATSY ZIMMERMAN**  
**PATENT ANALYST**

(703) 30 8-0209

01/14/2002 AGOITOM 00000053 09360199  
01 FC:231 370.00 OP  
02 FC:203 72.00 OP  
03 FC:202 84.00 OP  
04 FC:205 65.00 OP